#### **ORIGINAL PAPER**



# Climate change risk assessment for the Republic of Korea: developing a systematic assessment methodology

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#### Abstract

Climate change risks have become a major concern of climate change adaptation, and a systematic risk assessment is required as the first step as well as a key principle of national adaptation policy processes. Although many countries conducted risk assessments, a debate over a systematic assessment process continues, and results of the risk assessment provide limited information to making adaptation policies. Based on a case study of South Korea, this research aims to establish a national-level risk assessment process which includes systematic methodologies given the current limited time/resource and insufficient climate change information. A four-step risk assessment process is proposed: (1) collecting scientific evidence, (2) making list of preliminary risks, (3) making lists of risks and prioritising the risks, (4) categorising the risks. Enough scientific evidence and data about climate change risks of Korea were retained through first two steps, and three components of risk (hazard, exposure, vulnerability) are systematically involved by assessing the magnitude and adaptive capacity of risks. As results of the risks assessment, 93 national-level climate change risks of Korea are identified, and most high priorities in risks have high risk magnitude but low adaptive capacity. This research provided insights for direction of national adaptation policy of each sector by categorising the risks into four categories.

**Keywords** Climate change ecological impact · Ecological risk assessment · Risk prioritisation · Risk categorisation · Adaptation policy

risk as below.

#### Introduction

Climate change gives rise to cascading risks in human and natural systems (IPCC 2014; Adger et al. 2018). Given that the inevitable impacts of climate change caused by greenhouse gases (GHGs) already emitted, a need for adaptation has increased and the climate change risks have become a major concern of the adaptation (CCC 2017a). Since the Intergovernmental Panel on Climate Change (IPCC)'s Special Report on Extreme Events (SREX) (2012), the concept of climate change risk involves the climate science aspect that projects the probability of a hazard and the dynamic

"The potential for consequences where something of value is at stake and where the outcome is uncertain, recognising the diversity of values. Risk is often represented as a probability of occurrence of hazardous

socio-economic aspects that drive exposure and vulnerability (IPCC 2012; Adger et al. 2018). IPCC (2014) defines

recognising the diversity of values. Risk is often represented as a probability of occurrence of hazardous events or trends multiplied by the impacts of these events or trends occur. Risk results from the interaction of vulnerability, exposure, and hazard."

Based on climate scientific evidence, hazards (heavy pre-

cipitation, tropical cyclone, droughts, floods, heatwaves, sea level rise and etc.) are projected to increase, and the severity of the impacts of the hazards relies strongly on the level of exposure and vulnerability to the hazards (IPCC 2012). IPCC (2014) also highlighted the paradigm shift from vulnerability assessment to risk assessment in their fifth assessment report.

Risk assessment is a crucial source of information and a key principle of managing risks and adaptation policies

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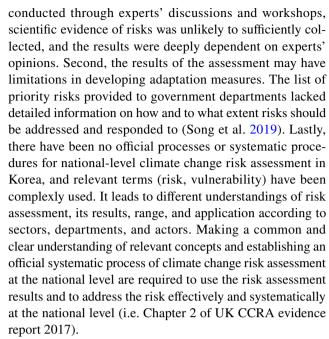
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(European Commission 2010, 2013; Papathoma-Köhle et al. 2016; EEA 2018). Decision-makers, under significant uncertainties, should make decisions to address climate change risks. As the process of examining available information to guide decision-making (WRI 2009), risk assessment systematically evaluates potential impacts of hazards and their societal consequences (Morgan et al. 1990; Brown 2015). It is a process to understands the nature and determines the level of risk (Byrd and Cothern 2000; Adger et al. 2018) and provides the basis of an analysis of risk reduction strategies. It is required that risks assessments are regularly conducted, reflecting the change of the risk as hazard, exposure, and vulnerability are continuously changing due to climate and social-economic circumstances' changes (Papathoma-Köhle et al. 2016). At the national level, climate change risk assessments usually aim to make adaptation policies (Brown et al. 2018), and findings of the assessments provide consistency of priorities and scope for adaptation to moderate risk factors (Brown et al. 2018). Risk assessments provide information on what risks are expected in the future and what risks should be addressed first with response measures. Therefore, based on risk assessment results, decision-makers can set directions of adaptation policies and make strategies to reduce the negative consequences of the risks.

As risk assessment gets more attention, the importance of national-level risk assessment has also been emphasised, and many nations have conducted it as the first step of their national adaptation policy process (Brown 2015). For example, the UK established the Climate Change Act 2008 to provide legal foundations for risk assessments, and the UK government have reported their Climate Change Risk Assessments (CCRAs) in 2012 and 2017. The CCRAs provide the evidence base of climate risks that are expected to encounter and analyse the magnitude of the risks. Based on the assessment results, national-level adaptation measures for the next 5 years are developed (Brown et al. 2018). Germany established the Vulnerability Network, which consists of 16 federal departments and 9 departmental research institutes, to assess climate change vulnerabilities. They reported Germany's vulnerability to climate change (2015) and Climate change in Germany: trends, impacts, risks and adaptation (2017), which investigate climate signal, sensitivity and each sector's adaptive capacity. Based on a common understanding of vulnerability and continuous communications in the Vulnerability Network, Germany develops national-level response measures (Buth et al. 2015; Buth et al. 2017).

In 2014, the Republic of Korea (Korea) conducted a qualitative risk assessment (Korea Government 2015). In 2015, based on the risk assessment, the Korean government established the second National Climate Change Adaptation Policy (NCCAP). However, the risk assessment poses several limits. First, a lack of scientific evidence of risks is pointed out as a problem. As the risk assessment was



In this regard, this research aims to establish a national-level risk assessment process and methodologies, given the limited time/resource and insufficient climate change information. Also, the results of this risk assessment will inform to making the third NCCAP. From collecting scientific evidence to risk categorisation, this research set a whole process of risk assessment and detailed criteria for assessing climate change risks of Korea. Based on the process and criteria, we also identify national-level climate change risks of eight sectors: health, land, agriculture, water, forest, industry/energy, ecosystem, and ocean/fishery/coast. In addition, as the second NCCAP will be over at the end of 2020, these research results will play an essential role to develop and establish the third NCCAP of Korea.

# Establishing a systematic process of national-level risk assessment

This research proposes a systematic climate change risk assessment for NCCAP of Korea using the current limited information and resources. Considering the limitation of the previous risk assessments, the proposed systematic risk assessment aims to collect scientific evidence of climate change risks, provide clear criteria of assessments, prioritising risks through systematic assessments, informing key points to making national adaptation policies, and apply consistent methodologies and criteria in national climate change risk assessments.

Thus, to meet the purpose, it sets four key considerations for the assessment through literature reviews, case studies, a review of the previous risk assessment of Korea and discussions with experts.



- To make scientific evidence about climate change impacts (national-level) through reviewing reference (Buth et al. 2017; CCC 2017b; Brown et al. 2018).
- To utilise relationships between risks and between risk factors to identify major risks (Papathoma-Köhle et al. 2016; Buth et al. 2017; CCC 2017b).
- To correspond to the concept of "risk = f(hazard, exposure, vulnerability)" by conducting assessments about adaptive capacity and adaptive measures (Preyssl et al. 1999; IPCC 2007, 2014; Tonmoy et al. 2018).
- To present urgency and category of each risk to increase the usability of the result of the risk assessment (CCC 2017b, 2021).

Although there are a variety of approaches and methods for national risk assessments, the choice of assessment approaches and methods needs to take into account the particular information needs and the purpose of each national risk assessment (EEA 2018). The major purposes of a climate change risk assessment of Korea are: (1) retaining scientific evidence of climate change risks of Korea, (2) identifying national-level climate change risks, and (3) prioritising identified climate change risks to provide information to national adaptation policy, rather than calculating related numbers concretely. Based on the considerations and the purposes, the risk assessment method consists of four steps: (1) collecting scientific evidence, (2) making lists of preliminary risks, (3) making lists of risks and prioritising, and (4) categorising the risks.

For consistent understandings, this assessment sets key terms. Following the definition in IPCC (2014), 'risk' results from the interaction of hazard (h), exposure (e), and vulnerability (v); risk = f(h, e, v). 'Climate impact' refers to the consequences of climate change, it is a concept that excludes vulnerability from risk, consisting of hazard (h) and exposure (e); climate impact = f(h, e). 'Risk magnitude' refers to the sum of risk consequences and likelihood, which is measured in terms of the severity of its consequences (s) and its probability of occurrence (p) (Preyssl et al. 1999); risk magnitude = f(s, p). 'Adaptive capacity' refers to "the combination of the strengths, attributes, and resources available to an individual, community, society, or organisation that can be used to prepare for and undertake actions to reduce adverse impacts, moderate harm, or exploit beneficial opportunities" (IPCC 2014, p. 1758). Thus, this assessment considers that the adaptive capacity can represent the vulnerability (v) in the function of risk, as the vulnerability consists of sensitivity (s) and adaptative capacity (ac) (IPCC 2007, 2014). To measure adaptive capacity, this assessment includes institutional capacity (i), actor capacity (a), infrastructure capacity (f), technological capacity (t); adaptative capacity = f(i, a, f, t).

#### **Collecting scientific evidence**

Scientific evidence plays an important role in risk assessments, providing scientific grounds for making adaptative actions and helping to devise proper adaptation actions with various evidence and approaches. Thus, this risk assessment introduces a systematic literature review (SLR) to collect scientific evidence. SLR is a literature review methodology following a clearly defined protocol or plan where the criteria are set before the review is conducted (Dewey and Drahota 2016). It makes it possible to collect data systematically and comprehensively, as well as reduces subjective errors or bias of selecting literature to review (Petticrew and Roberts 2006). As a summary and assessment of the status of knowledge on a given topic or research question, SLRs have been increasingly used in the climate change field (Berrang-Ford et al. 2011; Ford and Berrang-Ford 2011; Spires et al. 2014). Thus, this risk assessment conducts an SLR following the seven stages of SLRs (see Petticrew and Roberts 2006, p.27) to enhance scientific evidence of climate change impacts on Korea. It sets three research questions for an SLR: (1) What are climate change risks of each sector in Korea (including national and local levels)? (2) What are the research results on the risk's factors (hazard, exposure, vulnerability) and impacts? (3) What are Korea national climate change risks drawn through analysing the risk factors and impacts? To make a clear and objective data range focusing on climate change impacts on Korea, this risk assessment chooses two web databases (KISS (http:// kiss.kstudy.com) and DBpia (https://www.dbpia.co.kr)) that are the biggest and most frequently used in Korea. In order to collect objectively verified data, at first, it searches only peer-reviewed articles in the databases. Both Korean ([7] 후 변화]) and English ([climate change]) terms were used to search. It is supposed that studies on climate change in Korea published before 2014 were reviewed and involved in Korean Climate Change Assessment Report (MoE and NIER 2014); thus, we focused on studies published between 2014 and 2019 in this risk assessment searches. In May 2019, total 20,518 articles are retained (KISS: 1,952; DBpia: 18,566). The criteria for inclusion and exclusion are summarised in Table 1. Based on the criteria, a total of 565 articles were identified and analysed (Table 2).

#### Making lists of preliminary risks

Preliminary risks refer to potential risks that can be drawn from related literature and data review, without adaptive capacity assessments. Acknowledging that academic articles in the SLR do not include every aspect of climate change risks of Korea, 'the climate impact database' is additionally reviewed to supplement the SLR results and make broader lists of preliminary risks. The climate impact database



Table 1 Criteria for inclusion and exclusion

Criteria	Inclusion	Exclusion
Date of publication	Articles published between Jan 2014–May 2019	Articles published prior to Jan 2014
Main theme of publication	Articles focusing on analysing or projecting climate change impacts on Korea (past/present/future) Articles involving contents about climate change, climate change scenario, climate change impacts, RCP, GCM, etc.	Articles not involving contents about climate change, climate change scenario, climate change impact, RCP, GCM, etc., which are not related to climate change risks
Research range	Articles focusing on Korea (national and local areas)	Articles focusing on other countries and their local areas
Availability of article	Articles that are available in KISS and Dbpia	Articles that are not available in KISS and DBpia
Type of article	Only peer-reviewed and published article	Grey literature such as conference proceedings or reports for institutes
Language of publication	Articles published in Korean or English	Articles published in languages other than Korean or English

Table 2 Analysis criteria

Criteria	Description				
Sector	Research sector (Health, Land, Coast, Agriculture, Water, Energy, Forest, Industry, Ecosystem, Ocean, Fisheries, etc.)				
Spatial range	Research spatial range (national, provincial, local)				
Data time scale	Time scale of data that used in the research				
Research time scale	Time scale of the research subject (past, present, future)				
Risk factors (IPCC 2014)	Hazard—The potential occurrence of a natural or human-induced physical event or trend or physical impact that may cause loss of life, injury, or other health impacts, as well as damage and loss to property, infrastructure, livelihoods, service provision, ecosystems, and environmental resources. In this report, the term hazard usually refers to climate-related physical events or trends or their physical impacts  Exposure—The presence of people, livelihoods, species or ecosystems, environmental functions, services, and resources, infrastructure, or economic, social, or cultural assets in places and settings that could be adversely affected  Vulnerability—The propensity or predisposition to be adversely affected. Vulnerability encompasses a variety of concepts and elements including sensitivity or susceptibility to harm and lack of capacity to cope and adapt. See				
	also Contextual vulnerability and Outcome vulnerability				
Research result	Research result summary				
Risk description	Risk description with risk factors in the research				

was used to build causation maps to supplement the SLR results. The climate impact database was established (Sin et al. 2017), which consists of risk factors (hazard, exposure, impact, and risk). It provides information about climate impacts on the real-life of each sector. Based on the results of the SLR and the climate impact database review, lists of preliminary sectoral climate change risks are drawn. The preliminary risks are classified into eight sectors: health, land, agriculture, water, forest, industry/energy, ecosystem, and ocean/fishery/coast.

# Making lists of risks and prioritising

In this step, every progress is conducted by sectoral expert groups; seven to ten experts from academia, research institutes, public organisations, etc. participated in each sectoral expert group. The preliminary risks, first, are reviewed and revised by sectoral expert groups. In this step, new risks that sectoral experts consider important risks but are not included in the lists of preliminary risks can be added. Also, risks that are not significant enough to be considered are eliminated through expert discussion in this step. Given that research articles cannot deal with all climate change risks and authors have bias to choose research topics, adding and deleting risks through sectoral expert discussions are essentially required. Then, the sectoral expert groups select each sector's climate change risks. The selected risks are prioritised by assessing risk magnitude and adaptive capacity. Following the definitions of key terms and concepts, the severity of the risk consequences (s) and the probability of the risk occurrence (p) are used to assess the risk magnitude. These are assessed with a three-point scale from low to high (Table 3). The adaptive capacity assessment consists of four sub-assessments, which assess with a five-point scale



 Table 3 Criteria for assessing risk scale and probability (three-point scale)

	Scale	Description
The severity of the risk	High	Large scale damage that is repeated every year (national-level, over 10 billion won)
consequences	Medium	Medium-scale damage that is repeated every year (national or local level, over 1 billion won)
	Low	Small scale damage that is repeated every year (the damage amount is small compared to the number of damages)
The probability of the risk	High	Every year repeatedly
occurrence	Medium	Once in 2 or 3 years
	Low	Once in over 5 years

Table 4 Criteria for assessing adaptive capacity (five-point scale)

Assessment	Description
Institutional capacity assessment	Do you think the national legislations and institutions for this risk has been properly developed?
	Do you think there are enough national countermeasures (policy programmes) to address this risk?
Actor capability assessment	How much do you think the actors' (related organisations and main respondents) adaptative capacity is?
Infrastructure capacity assessment	Do you think the social infrastructures for this risk are working sufficiently and effectively?
Technical capability assessment	What level do you think the basic research (projection, information, technology development, etc.) is?

from low to high: institutional capacity (i), actor capacity (a), infrastructure capacity (f), technological capacity (t) (Table 4). Each expert assesses each risk's magnitude and adaptative capacity, the results are calculated by summing and averaging in each sector, and every risk of each sector is presented in a quadrant sheet consisting of the risk magnitude and adaptive capacity dimensions. By mapping assessed risks on the quadrant sheet, it makes it possible to see the urgency of the risk. Based on the results of each sector's quadrant sheet, the experts prioritised climate change risks.

#### Categorising the risks

To use the results of the climate change risk assessment in national adaptation policy processes, it is necessary to identify how the risks have been dealt with. Thus, this assessment categorises identified risks into four categories based on the institutional capacity assessment and the technological capability assessment: 'existing', 'new', 'fundamental research', and 'new and fundamental research'. 'Existing' means that the risk can be dealt with existing measures, 'new' refers to the need to add new measure in the next adaptation policy to address the risk and there is enough fundamental knowledge about the risk, 'fundamental research' refers to the current institutions have measures to address the risk but more basic research and understandings are required to address it more effectively and efficiently, and 'new and fundamental research' means that the identified risk was not dealt with by previous adaptation measures, as well as it needs fundamental research to understand and reduce the risk.

The four steps of national-level climate change risk assessment described above are summarised in Fig. 1.

# Result: identifying national-level climate change risk of Korea

#### Preliminary climate change risks of Korea

This risk assessment drew sectoral preliminary climate change risk lists through analysing retained 565 articles of the SLR and the climate impact database from Sin et al. (2017). In eight sectors, 204 climate impacts<sup>1</sup> and potential risks (without adaptive capacity assessments) which have scientific evidence were drawn: 10 for health, 23 for land, 32 for agriculture, 24 for water, 13 for forest, 52 for industry/energy, 31 for ecosystem, and 19 for ocean/fishery/coast. For example, the preliminary risks of the health sector are presented in Table 5.

#### Climate change risks in Korea

Sectoral expert groups selected 95 climate change risks of Korea through reviewing and revising the preliminary risks.

<sup>&</sup>lt;sup>1</sup> As it is defined in "Establishing a systematic process of national-level risk assessment", climate impact consists of hazard (h) and exposure (e), without vulnerability (v).



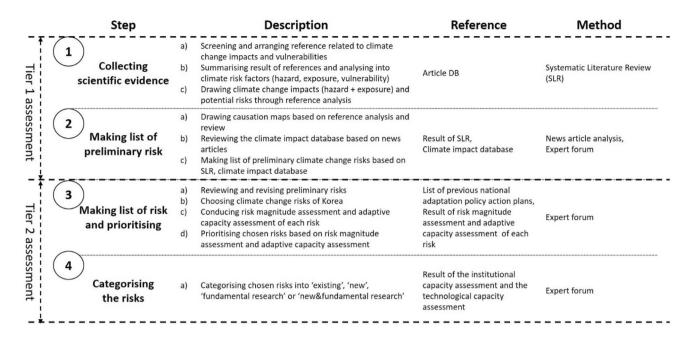


Fig. 1 Process of risk assessment

Table 5 Preliminary risks of health sector

No.	Hazard	Exposure	Impact (hazard and exposure)	Potential risk
1	Temperature increase, precipitation reduction	Patients	Allergic disease increase	Allergic disease increase due to temperature increase
2	Temperature increase, high temperature	Patients	Malaria disease increase	Malaria disease increase due to temperature increase
3	Temperature increase, high temperature	Patients	Food poisoning increase	Food poisoning increase due to temperature increase
4	Temperature increase, humidity increase	Patients	Bacterial pathogen increase	Bacterial pathogen increase due to temperature and humidity increase
5	Heat wave	The aged	Respirator and cardiovascular system patients increase, Medical cost increase	Respirator and cardiovascular system patients increase due to heat wave
6	Heat wave	Patients	Fatality increase	Fatality increase due to heat wave
7	Ozone concentration increase, temperature increase, precipitation increase	Patients	Early fatality increase due to air pollution	Early fatality increase due to air pollution caused by temperature, ozone concentration increase
8	Temperature increase, high temperature	Patients	Renal colic increase	Renal colic increase due to temperature increase
9	Temperature increase, CO <sub>2</sub> concentration increase	Patients	Asthmatic patient increase	Asthmatic patient increase due to temperature and CO <sub>2</sub> concentration increase
10	Precipitation reduction	Human	Respiratory disease and skin disease increase	Respiratory disease and skin disease increase due to dry air

In this process, sectoral experts updated the risks by adding new important risks, removing or merging. For example, in the health sector, 'water-borne diseases increase due to temperature increase' and 'mediator diseases increase due to temperature increase' were added, and subsequently, 12 climate change risks for the health sector were selected. The number of risks in the industry/energy sector also decreased from 52 to 13 by removing inconsiderable risks or merging similar risks.

The assessment results of risk magnitude and adaptation capability of the 95 risks were plotted in each sectoral quadrant sheet. In Fig. 2, the upper-right quadrant refers to a



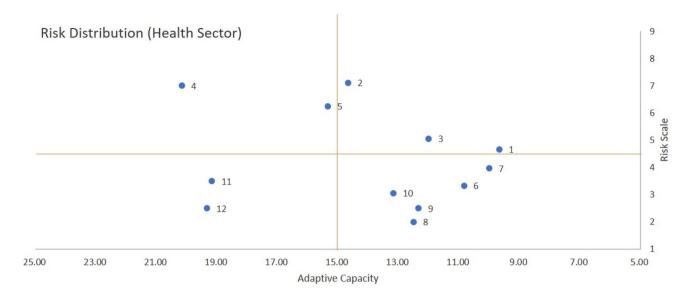


Fig. 2 Risk distribution (health)

risk that has high risk severity and probability but low adaptive capacity, while the upper-left quadrant refers to a risk that has high risk severity and probability and high adaptive capacity. In contrast, the lower-right quadrant shows a risk that has low risk severity and probability and low adaptive capacity, and the lower-left quadrant presents a risk that has low risk severity and probability but high adaptive capacity.

Lastly, 93 risks were selected as climate change risks of Korea through mediations between sectors (12 for health, 12 for land, 14 for agriculture, 10 for water, 12 for forest, 11 for ecosystem, 12 for industry/energy, and 10 for ocean/fishery/coast). There were some similar risks between sectors, and two risks from water and industry/energy sectors were removed by comparing all selected risks.

In the expert forum, the selected risks were prioritised, based on the results of the assessments of the risk magnitude and adaptive capacity. Most high priorities in the risks commonly have high risk magnitude but low adaptive capacity, although a few risks were exceptionally added to the high priority through expert discussions. The priorities of the 93 risks are shown in Appendix, and high ranked risks have a high priority.

### **Risk categorisation**

Based on the analysis of institutional capacity assessment and technological capability assessment, this risk assessment classified the identified 93 risks into the four categories (see "Categorising the risks").

In this categorisation, it showed very different results according to each sector (Table 6). For example, agriculture and industry/energy sectors have a high proportion of

risks in the existing category. This suggests that most of the climate change risks in these sectors were already covered by existing measures. Subsequently, less new measures or additional research were added. In contrast, ecosystem and ocean/fishery/coast sectors had one or no risk in the existing category, and most risks were in the new and fundamental research category. It means that existing measures in these sectors need to be reconsidered and redesigned to address their identified risks.

### **Discussion and conclusion**

As the importance of managing climate change risks has been widely acknowledged, risk assessment has been to the fore as the first step of risk management (Papathoma-Köhle et al. 2016; Adger et al. 2018; Dawson et al. 2018;

 Table 6
 Risk categorisation

Sector	Existing	New	Fundamen- tal research	New and fundamental research
Health	3	3	0	6
Land	1	6	0	5
Agriculture	9	3	1	1
Water	1	5	1	3
Forest	2	6	0	4
Ecosystem	1	0	1	9
Industry/energy	8	1	0	3
Ocean/fishery/coast	0	0	0	10



EEA 2018). In this respect, Korean government conducted a national-level climate change risk assessment in 2014 to establish the second NCCAP, but there were several limits that hinder from understanding the concept of climate change risks to the use of the risk assessment results in adaptation measures. Thus, this research aimed to establish a systematic national-level risk assessment process and methodology given the limited time/resources and insufficient climate change information. Also, through identifying risks, it purposed to provide essential insights into making the third NCCAP.

The climate change risk assessment methods in other countries require a large amount of time and resources (human and financial). For example, the UK's CCRA takes at least 2 years to collect scientific evidence (in the first year) and to assess the risks (in the second year), and it also includes a number of policy stakeholders and sectoral experts. Also, significant costs are required in this process (CCC 2021). These risk assessment methods are not proper for countries that don't have sufficient resources, expertise or that need to immediately conduct a risks assessment for their national adaptation policy. Thus, in this research, a four-step climate change risk assessment is proposed that is considering the current limited time/resources and insufficient climate change information: collecting scientific evidence, making lists of preliminary risks, making lists of risks and prioritising, and categorising the risks. Based on a Korean case, the proposed risk assessment process took only 6-8 months to draw meaningful assessment results.

This risk assessment process retained scientific evidence and data about the climate change risks of Korea through the first two steps. Through an SLR and the climate impact database of Korea, this research drew 204 climate impacts on Korea and collects related scientific evidence. We found that industry/energy, agriculture, water, and ecosystem sectors relatively had more research evidence than other sectors. Although we collected plenty of scientific evidence of risks, there are still gaps. The gaps in data and the integration of quantitative and qualitative information are the common challenges of national-level risk assessments (EEA 2018). Thus, it is important that the risk assessment process has room for adding scientific evidence and data of risks in the next steps through additional analysis or expert discussions. However, it is emphasised that these early steps of the proposed risk assessment in this study can retain baseline scientific evidence and data of climate change risks for Korea, which were not made in the previous assessments.

The process of assessing the magnitude and adaptive capacity of risks revealed that the risk assessment process could involve three components of risks: hazard, exposure, and vulnerability. This research suggested expert surveys and expert group discussions to identify climate change risks for Korea and to prioritise identified risks, not to calculate

the magnitude of risks and vulnerability with statistical models. Expert survey and expert group discussion methods were commonly used to assign weights of indicators to identify high-vulnerabilities and to classify grades in other risk assessments (Feng and Chao 2020). Based on the criteria of risk severity and risk probability, sectoral experts assessed the 95 selected climate change risks from the preliminary risk lists. In addition, to assess vulnerability, this research suggested assessing an adaptive capacity for each selected risk in four aspects: institutional capacity, actor capability, infrastructure capacity, and technological capability. Although the assessments still relied on experts' subjective opinions, this assessment provided clear criteria and factors for assessing compared to the previous risk assessment.

The 93 risks were chosen as the final national climate change risks for Korea by displaying the risks on sectoral quadrant sheets consisting of risk magnitude and adaptive capacity dimensions. Also, the priority of each risk in each sector was given in this step. Most high priorities in risks have high risk magnitude but low adaptive capacity (in the upper-right quadrant).

This research provided insights for directions of national adaptation policy of each sector by categorising the 93 risks into four categories (existing, new, fundamental research, and new and fundamental research). The results showed that only one-third of national climate change risks of Korea can be dealt with existing measures or policies. Also, there were differences between sectors. In particular, agriculture and industry/energy sectors can deal with most sectoral risks with existing measures, whereas ecosystem and ocean/fishery/coast sectors cannot deal with any risks with existing adaptation measures. These results indicate that sectors like agriculture and industry/energy need to focus mainly on maintaining the current adaptation measures in the next NCCAP. Ecosystem and ocean/fishery/coast sectors have to check the problems or directions of the current adaptation measures first and then develop their measures based on identified risks for the next NCCAP. In addition, the results revealed that Korea still does not have enough fundamental research to address climate changes risks and need to invest in more to each sector's fundamental research, although the government has implemented the adaptation policy for about past 10 years. More than one-third of the national climate change risks for Korea requires new measures and fundamental research. The risks of sectors seem to need immediate actions to address them. However, policy-makers should pay attention to and invest in fundamental research for the risks with long-term views. Moreover, for the risks with a lack of research but requiring quick responses, it is necessary to prepare policies through a discussion process so that basic research and direct action can be carried out at the same time.



It is acknowledged that there were several limitations in this risk assessment method. First, this assessment had a limitation in dealing with cross-cutting risks, as we focused on sectoral risks separately. Secondly, this risk assessment did not involve a spatial concept; thereby, it did not involve how the national risk assessment link with and use sub-nationallevel climate change risk assessments. Thus, there is a need for research on risk assessments that include horizontal and vertical cross-cutting risk issues. Third, the SLR in collecting scientific evidence step reviewed only domestic journals to set subjective and clear inclusion criteria. If one can set subjective criteria that can include international journals, it would be helpful to collecting a wider range of scientific evidence related to climate change risks. In addition, because this risk assessment focused on establishing a systematic methodology that can be efficiently conducted with limited resources and time, it did not address every specific factor related to a climate change risk separately. For example, to assess a vulnerability, the proposed risk assessment focuses on adaptive capacity, excluding a sensitivity (such as elderly population density), to make the assessment more efficient and easier based on only related policy data, excluding demographic data. To address this limitation, it is required to develop an assessment method that can combine sensitivity with demographic data and adaptive capacity with related policy data.

With the Paris Agreement and Katowice Climate Package, it became a clear mandate for all parties to undertake adaptation progress and report their efforts to the international society (Berrang-Ford et al. 2019), and a climate change risk assessment is being essentially required for their national adaptation policy. In this context, this study will be of great help to countries where a climate change risk assessment needs to be conducted immediately but systematically. In addition, this assessment process can be used not only at the national level, but also at local or individual organisational level risk assessments.

# **Appendix**

Prioritised climate change risks of Korea (93 risks)

No.	Health	Land	Agriculture	Water	Forest	Industry/energy	Ecosystem	Ocean/fishery/ coast
	12	12	14	10	12	12	11	10
1	Mental health diseases increase due to heat wave	Interruption and accident of land trans- portation increase due to heavy rain and heavy snow	Livestock dis- ease increase due to cold wave and heat wave	Intensification of drying stream due to drought	Unstable production of forest products due to abnormal climate	Manufacturing productivity decrease due to heat wave, cold wave, and heavy rain	Plant change (species, colony, plant season, dis- tribution) due to increase of temperature and precipi- tation	Risk of flood- ing in coastal areas increase due to heavy rain, tidal wave, ocean wave, and sea level rise
2	Cardiovascu- lar diseases increase due to air pollu- tion	Damage to electricity/ communica- tion facilities due to abnor- mal weather events	Livestock productivity decrease due to heat wave, temperature increase, and humidity increase	Stream and lake water quality deteriora- tion due to temperature increase and drought	Damage from forest pests increase due to heat wave and heavy rain	Damage of pro- duction facili- ties increase due to strong wind	Soil microbial change due to tempera- ture increase, precipitation fluctuation and drought	Erosion of white sand beaches, sand dunes, coasts, mudflats, and forests increase due to ocean wave and sea level rise
3	Cardiovascu- lar diseases increase due to tempera- ture increase	Drainage facility performance decrease due to rain pattern fluc- tuation	Damage to facili- ties (barn, greenhouse) increase due to heavy snow and strong wind	Inflow of pollutants to steam and lake increase due to heavy rain	Forest production growth reduction and quality deterioration due to drought and heavy rain	Damage to the construc- tion industry increase due to extreme weather events	Changes in subalpine areas (spe- cies, growth, distribu- tion) due to temperature increase and precipitation fluctuation	Damage to coastal facili- ties increase due to tidal wave, strong wind, ocean wave, and sea level rise



No.	Health	Land	Agriculture	Water	Forest	Industry/energy	Ecosystem	Ocean/fishery/ coast
	12	12	14	10	12	12	11	10
4	Heat diseases increase due to heat wave	Damage to old buildings due to heavy snow and strong wind	Flooding of agricultural land, loss of soil, and agri- cultural water pollution due to heavy rain	Flood damage to streams and water- sheds due to heavy rain	Forest disturbance species and its population increase due to abnormal climate	Damage to the tourism indus- try increase and tourists decrease due to temperature increase, heat wave, heavy rain, drought	Exotic species (animals, plants) increase due to climate change	Ecological environmental change in coastal and river estuary areas due to changes in rainfall pattern
5	Respiratory/ allergic diseases increase due to air pollu- tion	Urban flood damage increase due to heavy rain	Changes in crop productivity due to extreme weather events	Water sup- ply (life, industry, agriculture, river main- tenance) performance decrease due to drought	Forest habitats changes due to tempera- ture increase	Damage to sun- light genera- tion facilities increase due to strong wind and typhoon	Vertebrates population and their habitats decrease due to tem- perature and precipitation increase	Damage to the marine ecosystem due to ocean acidification
6	Kidney disease increase due to heat wave	Risk of low- level flood- ing increase due to heavy rain	Damage of crop pests increase due to tem- perature and precipitation increase	Vulnerability of dam/ reservoir management increase due to rainfall fluctuation increase	Carbon uptake in forests reduc- tion due to drought and temperature increase	Use of heating and cool- ing energy increase and related cost increase due to cold wave and heat wave	Invertebrates population and habitats decrease due to tem- perature and precipitation increase	Changes in intertidal and estuary area ecosystem due to sea level rise
7	Mental health disease increase due to air pollu- tion	Risk of collapse of slopes in residential areas due to heavy rain	Crop productiv- ity decrease due to temperature increase	Infrastructure stability of dams and rivers decrease due to heavy rain	Growth and distribution of subalpine vegetation, conifer- ous forests, northern plants decrease due to tempera- ture increase	Electricity demand increase and risk of black- out increase due to cold wave and heat wave	Endangered species and rare/ protected species reduction due to climate change	Ocean foreign/ pirate creature emergence and related diseases increase due to seawater temperature increase
8	Respiratory and allergic diseases increase due to tempera- ture increase	Heat stress of residen- tial areas increase due to heat wave	Instability of water resource in agricultural facilities increase and water quality deterioration due to drought and tempera- ture change	Aquatic organisms' heat stress increase due to heat wave	Habitats of protected plants reduction due to temperature increase	Changes in consumers' consumption patterns due to climate change	Population and habitats of freshwater organisms (animals and plants) decrease due to temperature increase and precipitation change	Damage to aquaculture increase due to heat wave, cold wave, hypoxiciza- tion, and typhoon
9	Cardiovascu- lar diseases increase due to temperature fluctuation increase	Fire risk increase in residential areas caused by forest fire due to the number of drought days increase	Flood response- ability of agricultural water facilities decrease due to precipita- tion increase	Groundwater recharge rate decrease due to tempera- ture increase and drought	The incidence and size of forest fires increase due to drought	Damage to tour- ism resources increase due to temperature increase and string wind	Island ecosystems changes due to temperature increase and sea level rise	Fisheries resources changes due to seawater temperature increase and hypoxiciza- tion



No.	Health	Land	Agriculture	Water	Forest	Industry/energy	Ecosystem	Ocean/fishery/ coast
	12	12	14	10	12	12	11	10
10	Mental health diseases increase due to climate disasters	Early damage phenomena of paved roads increase due to tempera- ture variabil- ity increase	Use of agricul- tural machin- ery reduction due to rainfall days increase	Vulnerability of estuary and coastal water management increase due to sea level rise	Occurrence of landslides increase due to heavy rain	Wind power generation variability intensification and changes of wind power resource areas due to temper- ature increase, precipitation increase, wind pattern changes	Wetland area decrease and biologic changes due to climate change	Changes in fishery environment due to marine climate change
11	Water-borne diseases increase due to tempera- ture increase	Risk of defor- mation and derailment of railway rails increase due to heat wave	Cropping systems change due to temperature increase and rainfall days change		Turbidity and sediments of forest stream increase due to heavy rain	Stability of power plants weaken due to tidal wave and sea level rise	Ecosystem change due to extreme weather events	
12	Mediator diseases increase due to tempera- ture increase	Damage to port facilities and airport facilities increase and suspension of operations increase due to abnormal weather events	Crop cultivation area change due to tem- perature and precipitation increase		Forest stream water quality deterioration and dry- ing due to drought	Transmission and substa- tion efficiency decrease and damage to facilities increase due to temperature increase, heat wave, heavy rain, and strong wind		
13			Crop productiv- ity quality decrease due to temperature increase			ū		
14			Energy con- sumption of livestock barns increase due to heat wave and cold wave					

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